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ann-Ellice Parker

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: LEWIS et al.

Docket No.: AOL0156

Serial No.10/719,106

Art Unit: 2142

Filed:11/21/2003

Examiner: Unknown

Title: SYSTEM AND METHOD TO FACILITATE REAL-TIME COMMUNICATIONS AND CONTENT SHARING AMONG USERS OVER A NETWORK

SEPTEMBER 13, 2004

## TRANSMITTAL FORM

Commissioner of Patents Mail Stop IDS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following documents are being transmitted:

- IDS transmittal (1 sheet);
- Information Disclosure Statement, (2 pgs) 1449 form (2 pgs)
- Cited References
- International Search Report
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. AOL0156). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lewis, et al.

Docket No.: AOL0156

Serial No.: 10/719, 106

Art Unit: 2142

Filed: November 21, 2003

Examiner: Unknown

Title: SYSTEM AND METHOD TO FACILITATE REAL-TIME COMMUNICATIONS AND

CONTENT SHARING AMONG USERS OVER A NETWORK

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 September 13, 2004

## INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(X) under 37 CFR 1.97(b), or

(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

- ( ) Certification under 37 CFR 1.97(e), or
   ( ) a \$220.00 fee under 37 CFR 1.17(p), or
   (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97(d) together with a:
  - ( ) Certification under 37 CFR 1.97(e), and
  - ( ) a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
  - ( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
    - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0156). A copy of this sheet is enclosed for accounting purposes.
- (X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael Glenn Attorney For Applicant Reg. No. 30,176

Customer No. 22862

PTO/SB/08A (02-03)

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INFORMATION DISCLOSURE

Substitute for form 1449/PTO

Sheet 1

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

of |4

	mplete if Known
Application Number	10/719,106
Filing Date	11/21/2003
First Named Inventor	Lewis et al.
Art Unit	2142
Examiner Name	Unknown
Attorney Docket Number	AQL0156

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<del>                                     </del>	Number-Kind Code <sup>2 (# known)</sup>	44/00/00	Chand at al	- igaso i specii
	Α	<sup>US-</sup> 5,991,824	11/23/99	Strand, et al.	
	В	<sup>US-</sup> 6, 219,669	4/17/01	Haff, et al.	
	C	<sup>US-</sup> 6, 248,946	6/19/01	Dwek	_ ]
	D	<sup>US-</sup> 2001/0018858 A1	9/6/01	Dwek	
	Е	<sup>US-</sup> 2002/0027567 A1	3/7/02	Niamir	
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	K	<sup>US-</sup> 2003/0028451 A1	2/6/03	Ananian	
	L	<sup>US-</sup> 2003/0061287 A1	3/27/03	Yu, et al.	
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	Р	<sup>US-</sup> 2003/0110925 A1	6/19/03	Strick, et al.	
	Q	<sup>US-</sup> 2003/0110926 A1	6/19/03	Strick, et al	
	R	<sup>US-</sup> 2003/0171982A1	9/11/03	Paul	
	S	<sup>US-</sup> 2003/0225846	12/4/03	Heikes, et al.	

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		· Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	ppmank of older population	Or Relevant Figures Appear	Т
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	W	JP 2003067276	8/22/01	Tetsuya et al.		
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Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

(Use as many sheets as necessary)

of 4

Coi	mplete if Known	
Application Number	10/719,106	
Filing Date	10/19/2001	
First Named Inventor	Lewis et al.	
Art Unit	2142	
Examiner Name	Unknown	
Attorney Docket Number	AOL0156	

		<u> </u>	U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Examiner	Date	
Signature	Considered	

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Complete if Known ute for form 1449/PTO **Application Number** 10/719,106 INFORMATION DISCLOSURE Filing Date 11/21/2003 STATEMENT BY APPLICANT **First Named Inventor** Lewis et al. Art Unit 2142 (Use as many sheets as necessary) **Examiner Name** Unknown Attorney Docket Number Sheet AOL0156 of

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
•	Y	HYOUNG-DO, K., "A Virtual Music Network service Scalable to Network and Terminal Characteristics", Proceedings of teh 11996 Pacific Workshop on Distributed Multimedia Systems, pgs 246-50, Knowledge Syst. Inst. Skokie, II., USA, 1996	
	Z	MACEDONIA. M., "Distributed File Sharing: Barbarians At The Gates?"; Computer, Vol. 33, no. 8, pgs 99-101, IEEE Comput. Soc, USA, Aug. 2000	
	A1	ADAR, E. et al. "Free Riding on Gnutella"; First Monday, Vol. 5, No. 10, Oct. 2000	
	B1	BARNHART, A.; "Share and Share Alike [P2P Technology]: Software Development, vol. 9, No. 2, pgs 31-5, Miller -Freeman; Feb. 2001	
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•	D1	DELANEY, B.; "The Power of P2P", IEEE Multimedia, vol.8, no.2, p.100-3; IEEE, USA, April-June 2001	
	E1	HAYES, C., et al. "Smart Radio-Building Musci on The Fly", Applications and Innovations in Intelligent Systems VIII. Proceeedings of ES2000, the Twentieth SGES international Conference on Knowledge Based Systems and Applied Artificial Intelligence, Pgs 129-38; Springer-Verlag	
	F1	HAYES, C.,et al., "Smart-Radio - Community Based Music Radio", Knowledge-Based Systems, vol. 14, no.3-4, pgs 197-2-1, Elsevier, UK, 2001	
	G1	HUMMEL, J. et al. "The Community Model of Content Management"; Towards teh E-Society:E-Commerce, E-Business, E-Government (13E 2001) pgs 231-46; Kluwer	
	H1	LECHNER, U.; "Peer to Peer File Sharing"; Innovative Internet Computing Systems. Second Inetrnational Workshop, IICS 2002. Proceedings (Lecture Notes in Computer Science Vol. 2346) pgs 229-49; Springer-verlag, Berlin Germany 2002.	

Examiner	Date	
Signature	Considered	

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Substitute for form 1449/PTO		Complete if Known
Substitute for form 1443/1170	Application Number	10/719,106
INFORMATION DISCLOSURE	Filing Date	11/21/2003
STATEMENT BY APPLICANT	First Named Inventor	Lewis et al.
(Use as many sheets as necessary)	Art Unit	2142
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Sheet 4 of 4	Attorney Docket Number	AOL0156

Examiner	Cite	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	T
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	CHERRY, S., "IM Means Business" - Spectrum, IEEE, Vol.39, Issue: 11, Novemeber 2002, Pgs 28-32	
	J1	DREWS, C. et al, "Virtual Jukebox: Reviving a Classic", Proceedings of the 335th Annual Hawaii inetrnational Conference on System Sciences, pgs 887-93, IEEE	
· · · · ·	K1	GRIMM, R. et al. "Peer to Peer Music Sharing with Profit but Without Copy Protection", Proceedings Second International Conference on WEB Delivering of Music" WEDELMUSIC 2002, pas 17-22: IEEE Comput. Soc. Los Alamitos. CA. USA 2002	
···	L1	BELLINI, P. et al. "Multimedia Music Distribution and Sharing Among Mediatiques, Archives and Their Attendees", Proceedings Second International Conference on WEB Delivering of Music, WEDELMUSIC 2002, pgs 23-9, IEEE Comput Soc, Loa Alamitos, CA. USA 2002	
	M1	HAYES, C. et al. "Prgramme Driven Music Radio", ECAI 2002 15th European Conference on Artificail Intelligence Proceedings, pg. 633-7; IOS Press, Amsterdam, Netherlands 2002	
	N1	NG, W.; "A Self-Configurable Peer to Peer System;" Department of Computer Science, National University of Singapore;	
	G1	(No author given) " The User's Perspective of Context Dependent Systems";	
	H1	ROMAN, M., et al. "A User-Centric, Resource-awarer, Context-sensitive, Multi-device Application framework for Ubiuitous Computing Environments"; Department of Computer Science, Univ. of Illinios at Urbana-Champagne.	

Examiner	Date	
Signature	Considered	

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